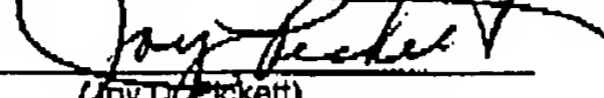


I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 746-9195, on the date shown below.

Dated: 10-15-03 Signature:   
(Joy D. Pickett)

Docket No.: 21806-00113-US1  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Arne W. Ballantine, et al.

Application No.: 10/616,962

Group Art Unit: N/A

Filed: July 11, 2003

Examiner: Not Yet Assigned

For: METHOD OF REDUCING POLYSILICON  
DEPLETION IN A POLYSILICON GATE  
ELECTRODE BY DEPOSITING  
POLYSILICON OF VARYING GRAIN SIZE

**REQUEST FOR CORRECTED FILING RECEIPT**

Office of Initial Patent Examination Customer Service Center  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in the "**Domestic Priority data as claimed by applicant**" section. The Applicant has noted that the priority date for the Divisional application to which priority is claimed is incorrect. The "**Domestic Priority data as claimed by applicant**" should read as follows:

-- This application is as DIV of 09/802,702, filed 03/09/2001 --

A copy of the Application Data Sheet and First Preliminary Amendment filed on July 11, 2003 for the above-referenced application is also enclosed for your reference.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

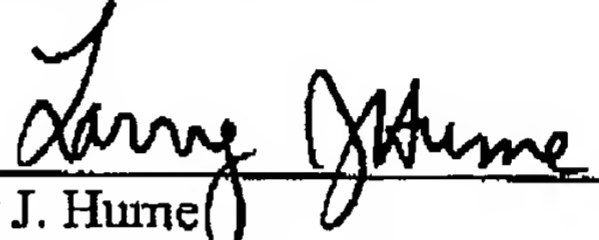
Application No.: 10/616,962

Docket No.: 21806-00113-US1

As the error was made by the U.S. Patent and Trademark Office, Applicant believes that no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 22-0185, under Order No. 21806-00113-US1 from which the undersigned is authorized to draw.

Dated: October 15, 2003  
12751\_1

Respectfully submitted,

By   
Larry J. Hume

Registration No.: 44,163  
CONNOLLY BOVE LODGE & HUTZ LLP  
1990 M Street, N.W., Suite 800  
Washington, DC 20036-3425  
(202) 331-7111  
(202) 293-6229 (Fax)  
Attorney for Applicant



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/616,962	07/11/2003	2812	834	21806-00113-US1	2	19	4

30678  
CONNOLLY BOVE LODGE & HUTZ LLP  
SUITE 800  
1990 M STREET NW  
WASHINGTON, DC 20036-3425

CONFIRMATION NO. 2127

## FILING RECEIPT



\*OC000000010995581\*

Date Mailed: 10/07/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Arne W. Ballantine, Round Lake, NY;  
Kevin K. Chan, Staten Island, NY;  
Jeffrey D. Gilbert, Burlington, VT;  
Kevin M. Houlihan, Boston, MA;  
Glen L. Miles, Essex Junction, VT;  
James J. Quinlivan, Essex Junction, VT;  
Samuel C. Ramac, Essex Junction, VT;  
Michael B. Rice, Colchester, VT;  
Beth A. Ward, Essex Junction, VT;

## Assignment For Published Patent Application

International Business Machines, Armonk, NY;

## Domestic Priority data as claimed by applicant

This application is a DIV of 09/802,702-03/10/2004 *filed 03/09/2001*  
(\*)Data provided by applicant is not consistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted: 10/07/2003

Projected Publication Date: 01/15/2004

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Method of reducing polysilicon depletion in a polysilicon gate electrode by depositing polysilicon of varying grain size

**Preliminary Class**

438

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).